

AuditCon 2019 Speaker Biographies

(In order of session number)

Keynote: The Pulse of Higher Education

Monday

Howard Teibel, President, Teibel Education Consulting

Howard Teibel is founder of Teibel Education Consulting. Over the past three decades, Howard has worked with education leaders and their teams to develop new habits to coordinate actions in support of shared commitments. As a public speaker and writer for numerous higher education associations, Howard contributes to the national discourse on emerging trends facing this industry. Additionally, his 10-year-old podcast “Navigating Change” brings together leaders to discuss innovations and new practices that can transform how teams in academia and business work together. His client roster includes institutions such as the University of Colorado, Rutgers University, The City University of New York, Cornell University, the NCAA and many others. Having recently moved from Boston to Boulder, CO, Howard enjoys hiking, biking, and meditation and is passionate about guiding business leaders to create constructive discourse on regional and global issues facing our country.

General Session: The Diversity Difference and the Inclusion and Innovation Impact

Monday

Menah Pratt-Clark, Vice President of Strategic Affairs & Diversity, Virginia Tech

Menah Pratt-Clarke is the Vice President for Strategic Affairs and Diversity at Virginia Tech. She is also Professor of Education in the College of Liberal Arts and Human Sciences. She has almost 25 years of leadership experience in higher education, with a focus on large-scale institutional transformation. Prior to joining Virginia Tech, she held senior positions at the University of Illinois at Urbana-Champaign and Vanderbilt University. At Vanderbilt, she served as the University

Compliance Officer, Assistant Secretary of the University, and University Counsel. She has a bachelor's and master's degree from the University of Iowa, and a master's degree, PhD, and law degree from Vanderbilt University. She recently released *A Black Woman's Journey from Cotton Picking to College Professor: Lessons about race, gender, and class in America*. (Peter Lang, 2018), which was awarded the American Education Studies Association Critics' Choice Award for scholarship deemed to be outstanding in its field.

Keynote: Proven Techniques to Increase Internal Audit's Value
Tuesday

Ann Butera, The Whole Person Project

Ann M. Butera, CRP is President of The Whole Person Project, Inc., an organizational development consulting firm founded in 1983. She is a frequent speaker at IIA, MISTI (coordinator of Audit Leadership and founder of CAE Masters conferences), and ISACA conferences. She has worked with audit departments of all sizes to provide auditors with the tools and techniques needed to improve risk management practices within their organizations. Ann is regularly cited in Who's Who and has been honored by Women On The Job with the Business Achievement Award. She is a member of the IIA, the American Society for Training and Development, the Association of Government Accountants, and the National Association of Corporate Directors. She served as Supervisory Committee Chair for a financial services firm. Ann received her Masters of Business Administration in Organizational Development from Adelphi University. She holds a CRP (Certified Risk Professional) designation from BAI and is a Summa Cum Laude graduate of Long Island University/C.W. Post College. Ann has published her first book, *Mastering the Five Tiers of Audit Competency: The Essence of Effective Auditing*. In it, she shares best practices for every stage of the audit and explains how and why the most effective auditors master five essential competencies. New and seasoned auditors will benefit from her insight culled from over 30 years' experience training thousands of their peers.

General Session: When Culture & Crisis Collide: The Anatomy of a Great Big Mess

Tuesday

Leigh Goller, Chief Audit, Risk and Compliance Officer, Duke University

Leigh Goller is the Chief Audit, Risk and Compliance Officer for Duke University and Health System. She has institutional responsibility for directing and coordinating an integrated internal audit, compliance and risk management function, both of which have enterprise-wide scope, and a federated university compliance function, as well as accountability to enhance the ability of these functions to advance the mission of Duke. Internal audit and compliance reviews make policy, process and internal control recommendations that help Duke reduce the risks of unintended operational outcomes, financial fraud or misappropriation, compliance violations and governance breakdowns. The risk management program raises awareness of the threat and opportunity environment while focusing leadership on risk tolerance decisions that enable sound business and risk management strategies. Leigh serves on institutional steering committees for financial and operational leadership, research administration, conflict of interest, policies, global initiatives, and other senior leadership priorities. She holds a bachelor's degree from the University of North Carolina at Charlotte and a master's degree from Duke University.

Keynote: The State of Digital Ethics in 2019: Excitement, Caution and Hope

Wednesday

John O'Brien, President/CEO, EDUCAUSE

John O'Brien is President and CEO of EDUCAUSE, an international nonprofit association whose mission is to advance higher education through technology innovation. Throughout his career in higher education, John has served as a leader in technology, academics, and institutional leadership. He was a faculty leader in instructional technology, a statewide IT project leader, and associate vice chancellor/deputy CIO at the system level. He has been a college

provost and president in the Minnesota State Colleges and Universities system, the fourth largest higher education system in the United States with over 375,000 students. Prior to his appointment at EDUCAUSE, he served as the system's senior vice chancellor of academic and student affairs. John holds a bachelor's degree in English from Augustana University, a master's degree in Anglo-Irish Literature from the University of Dublin (Trinity College), and a doctorate in English from the University of Minnesota.

A1: Becoming a Trusted Advisor by Branding Your Audit Department

Michael Moody, Institute Auditor, MIT

Michael J. Moody, CIA, CISA, CRMA

Mike has more than 30 years of audit, compliance and financial experience in the public and private sectors. As Institute Auditor for the Massachusetts Institute of Technology, he is responsible for delivering assurance and advisory services for the Institute through a risk-based program to assess adequacy of internal controls, safeguarding of assets, compliance with laws and regulations, and ethical practices.

He has held audit and compliance leadership roles at Northwestern University, the University of Illinois and the Illinois Office of the Comptroller. Mike has earned the Certified Internal Auditor, Certified Information Systems Auditor, and Certification in Risk Management Assurance designations and completed the COSO Internal Control Certificate Program. He is a former chairman of the Illinois Internal Audit Advisory Board and a former senior vice chairman for the North American Board of The IIA. Mike has also held numerous other volunteer positions in professional audit associations.

A2: Accepting Unacceptable Risk: A Case Study

Kimberly (Kim) Turner, Chief Audit Executive, Texas Tech University System

As Chief Audit Executive for the Texas Tech University System, Kim leads a department of 17 auditors in three cities. The institutions of the Texas Tech System comprise an annual operating budget of \$2.3 billion

with almost 55,000 students. A former ACUA President, Kim received ACUA's Outstanding Professional Contributions award in 2014. Kim is Co-Chair of the Risk Dictionary subcommittee and received the 2011 Excellence in Service Award for her work in developing and maintaining the Risk Dictionary. Closer to home, Kim served as a board member-at-large on the state board of the Texas Society of CPAs (TXCPA) and as founding president of High Plains Chapter of The IIA. A member of ACUA Faculty, Kim is a frequent speaker for such organizations as ACUA, URMIA, TXCPA, and SCCE. Kim has also served on a number of peer review teams to enhance the performance of internal audit functions both inside and outside of higher education.

A3: Internal Audit and Information Security Working Together for ERM

Mark Ruppert, Chief Auditor, Northern Arizona University

Mark Ruppert is currently the Chief Audit Executive at Northern Arizona University. He has more than 30 years of internal audit experience and previously held the chief audit roles as the Director of Internal Audit for the Cedars-Sinai Health System and for the Johns Hopkins Health System. He has been speaking nationally since 2000, has been published in Compliance Today and New Perspectives on various audit and compliance topics, and has lectured on Internal Audit for the University of California Los Angeles and Riverside. He is a CPA, CIA, CISA, and ACS.

A4: Untapped Resources: How Building Relationships Between IA and Faculty Can Increase Productivity

Kara Kearney-Saylor, Director of Internal Audit, University at Buffalo
Lorrie A. Metzger, Clinical Assistant Professor, Accounting & Law Department, University at Buffalo

Kara Kearney-Saylor, Director of Internal Audit at the University at Buffalo (UB), leads a strategic and comprehensive risk assessment and internal audit program that supports UB's mission, plans and goals. She is the University's point of contact for all external audit activities and

works actively with SUNY's Audit and Enterprise Risk Management initiatives. She is implementing Enterprise Risk Management and serves on UB's Information Security Privacy Advisory Committee. She has been an advocate for Information Security and Data Governance at UB, acting as the Interim Information Security Officer and chairing an international search for an Information Security Officer. Currently, Kara is the Standards and Best Practices Committee Chair for the Association of College and University Auditors (ACUA). She also participates in her local chapter of the IIA, co-chairing the academic relations committee. Prior to UB, Kara was employed at Boston University, as the Interim Director of Internal Audit and co-chaired the Enterprise Risk Management Steering Committee and the Information Security Committee. She was previously employed at Houghton Mifflin, PricewaterhouseCoopers, and Ernst & Young in various audit and tax consultant roles. She has Bachelor's degree from Northeastern University and a Master's Degree from Boston University. Additionally, Kara is a Certified Internal Auditor and has a Certification in Risk Management Assurance.

Lorrie A. Metzger, Clinical Assistant Professor, Accounting & Law Department; MBA , University at Buffalo; BS, Rochester Institute of Technology; CPA (New York), CISA. Her teaching responsibilities include undergraduate courses in the area of accounting information systems, graduate courses in data analytics, and graduate courses in the area of information technology auditing. Professor Metzger is also the Faculty Director of the School of Management Undergraduate Honors Program. Prior to joining the UB faculty, Professor Metzger was a Senior Auditor in the Internal Audit Departments at both First Niagara Financial Group and at M&T Bank. She worked as a Senior Consultant for the Enterprise Risk Services group of Deloitte and started her career as an auditor for the Arthur Andersen firm.

A5: Annual Risk Assessment and Audit Planning

Sharon Kurek, Executive Director of Audit, Risk, and Compliance,
Virginia Tech

Sharon is the Executive Director of Audit, Risk, and Compliance at Virginia Tech, serving as the university's chief audit executive for the university and reporting to the Compliance, Audit, and Risk Committee of the Virginia Tech Board of Visitors. In addition to leading the internal audit function, Sharon has institutional responsibility for facilitating and coordinating the university's enterprise risk management (ERM) and institutional compliance programs (ICP).

A6: Actionable Advice for Negotiation

Bryan Paine, Senior Associate, RSM US LLP

Bryan Paine is a Senior Associate with RSM US LLP aligned with their Risk Advisory Services function. Prior to joining RSM, Bryan was an Audit Manager with Northeastern University charged with overseeing the execution of compliance-, process- and IT-driven engagements. He represented Northeastern's Audit & Advisory Services team on several committees and cross-functional teams, and served as the team's analytical "go-to" for generating meaningful data analysis. Bryan maintains a professional certification as a Certified Internal Auditor (CIA) and holds a Master of Business Administration (MBA) from the D'Amore-McKim School of Business at Northeastern University. Bryan is an active member of the Institute for Internal Auditors (IIA) and the Association of Certified Fraud Examiners (ACFE), and formerly a member of the Association of College & University Auditors (ACUA).

A7: Risk Partners: Integrating Audit, Risk, & Compliance

Carrie Frandsen, Systemwide ERM Program Manager, University of
California

Peter Cataldo, Systemwide Associate Audit Director, University of
California

Carrie Frandsen, MBA, ARM-E, RIMS-CRMP, is the Systemwide Associate Director, Enterprise Risk at the University of California, Office of the President (UCOP). UCOP is the headquarters of the University of California – a world-class public research university system that includes 10 campuses, 5 medical centers, 3 national laboratories, with more than 238,000 students and 198,000 faculty and staff. Carrie provides leadership, resources, and guidance to constituents across the University of California system on enterprise risk management. In this role, she develops and coordinates activities and programs that assess and manage risks to the University's mission, strategies, and values. Ms. Frandsen is also an instructor in UCLA Extension's Enterprise Risk Management certificate program. She serves on the U.S. TC262 technical advisory group for ISO 31000, and on the RIMS CRMP Commission.

Peter Cataldo is the Systemwide Associate Audit Director at the University of California – Office of the President (UCOP). UCOP is the system-wide headquarters of the University of California, located in Oakland, California. The UC System includes 10 campuses, 5 medical centers, a national laboratory, over 220,000 students and 170,000 faculty and staff. As the Associate Audit Director, Peter supports the UC systemwide audit program and works with all the 10 campuses and medical centers on various systemwide compliance and audit projects. Peter started his UC career as an auditor at the UC Berkeley campus, and has been a part of the UC internal audit program for the past 30 years. Peter earned his undergraduate business degree from Siena College in Albany, New York; and is a Certified Internal Auditor (CIA), Certified Fraud Examiner (CFE), a Certified Compliance and Ethics Professional (CCEP), and a member of the Institute of Internal Auditors (IIA).

A8: Our Triumphs and Challenges Using Agile Auditing Principles

Lisa Nykolyshyn, Associate University Auditor, University of Alberta -
Edmonton, AB

Lisa Nykolyshyn has spent over 18 years in the internal audit profession, including eight years in the post-secondary education sector at the University of Alberta and six years auditing at the municipal and provincial levels. Lisa complements her public-sector experience with five years of managing and leading the internal audit shop at Stantec, a publicly-traded global design company. Lisa has volunteered in various capacities with the Canadian Association of University Business Officers (CAUBO) internal audit working group and with the Institute of Internal Auditors. She is passionate about finding more meaningful ways to engage clients, deliver value and be a catalyst for change.

A9: Climbing the Ranks: Best Practices for Preventing Fraud and Misreporting in Admissions and Institutional Data

Christopher Garrity, Director of Internal Audit, Saint Joseph's University
Adrienne Larmett, Senior Manager, Baker Tilly
Loretta MaGuire, Director of Internal Audit, The College of New Jersey

Chris Garrity, MBA, CPA, CIA, CFE, CGMA is the Director of Internal Audit at Saint Joseph's University of Philadelphia, PA. Chris has over 25 combined years of experience within the not for profit and healthcare industries. His experience includes performing and supervising numerous financial, operational, and compliance audits as well fraud investigations. He has also provided risk advisory and consulting services to audit committees, boards, counsel, and management in business and issues such as endowments, enterprise risk management, process reengineering, and ERP implementations.

Adrienne is a senior manager in Baker Tilly's risk, internal audit and cybersecurity practice specializing in providing services to higher education and research institutions. She has more than 15 years of industry and professional services experience. Adrienne has worked with over 28 institutions to provide guidance in the areas of internal

audit, risk advisory, enterprise risk, grants administration processes, sponsored research compliance, and fraud investigation related services. She has managed several internal audit engagements for a variety of higher education clients in areas such as institutional data reporting, admissions, Title IX, Clery Act and student safety, conflict of interest, international programs, procurement, greek life and hazing, school/department-level operations. Prior to joining Baker Tilly, she worked in sponsored research at Temple University, the University of Pennsylvania and Thomas Jefferson University.

A10: What's Up with Accounting Standard Setters

Sue Menditto, Senior Director, Accounting Policy, NACUBO

A11: Calling all Small Shops

LaDonna Flynn, Director of Internal Audit, Pittsburg State University

La Donna is the Director of Internal Audit at Pittsburg State University in Pittsburg, Kansas. She has been in this role for the past six years. Prior to joining PSU, LaDonna was an Internal Auditor for 15 years in the healthcare and electric utility industries in Tacoma WA and Tucson AZ. She earned a Bachelor of Business Administration from PSU. She recently completed her MBA at PSU in the summer of 2019. La Donna is also a Certified Internal Auditor (CIA), Certified Public Accountant (CPA), and Certification in Control Self-Assessment (CCSA). LaDonna is an ACUA Board member at large.

A12: The Power of Partnership: A CAE Roundtable

Kimberly (Kim) Turner, Chief Audit Executive, Texas Tech University System

As Chief Audit Executive for the Texas Tech University System, Kim leads a department of 17 auditors in three cities. The institutions of the Texas Tech System comprise an annual operating budget of \$2.3 billion with almost 55,000 students. A former ACUA President, Kim received ACUA's Outstanding Professional Contributions award in 2014. Kim is Co-Chair of the Risk Dictionary subcommittee and received the 2011

Excellence in Service Award for her work in developing and maintaining the Risk Dictionary. Closer to home, Kim served as a board member-at-large on the state board of the Texas Society of CPAs (TXCPA) and as founding president of High Plains Chapter of The IIA. A member of ACUA Faculty, Kim is a frequent speaker for such organizations as ACUA, URMIA, TXCPA, and SCCE. Kim has also served on a number of peer review teams to enhance the performance of internal audit functions both inside and outside of higher education.

B1: Access Management - The First Line of Defense for Cyber Security

Carol Rapps, Asst Director Internal Audit, Information Systems, The University of Texas at San Antonio

Carol Rapps is a member of the prestigious ACUA faculty and a top ranked presenter at many ACUA conferences. She has 30+ years of combined Information Technology (IT), Information Security (IS) and IT Audit experience in the banking, publications, oil & gas, manufacturing, healthcare, insurance and education industries. Her prior areas of responsibilities encompass building IT audit functions from the ground up, information security management, change management, business continuity, audit (IT, operational and financial), total quality management, IT quality assurance, conversion management, and system development (both as an Auditor and as a Project Manager). She has lectured and provided consulting services both internally and externally for various professional organizations and is well known for her innovative thinking, technical skills and training abilities.

Carol received a B.A. in Business Administration from California State University of Bakersfield with a math minor. She is a CIA, CISA, CCSA, CRMA, CFE and holds a GLIT certificate from GIAC for Legal Issues in Information Technology. She has served as the ISACA LA Chapter President and Chair of the ISACA International Audit Committee. Additionally, she served three years as the Chairman of the Supervisory Committee for the South Texas Healthcare Federal Credit Union.

B2: The Third Line of Defense in Cybersecurity – Internal Audit and the UC Cybersecurity Audit Team

Greg Loge, Systemwide Cybersecurity Audit Director, University of California

Matthew Hicks, Systemwide Deputy Audit Officer, University of California

As the Cybersecurity Audit Director, Greg established and leads the systemwide Cybersecurity Audit Team, which is deployed across the 10 campus and 5 academic health center system to deliver specialized cybersecurity audits and advisory services and serves to provide independent oversight, assurance and advice on systemwide cybersecurity initiatives and programs to senior UC leadership and the UC Board of Regents. Greg has over 20 years of experience in IT, information security, and IT audit. He started his career as a system administrator dealing hands on with cybersecurity related issues, and has previously held positions as an IT management consultant at Gartner focused on cyber risk, a mentor with the SANS institute, and as an IT director for Colleges within the UC. Greg is a member of the SANS Advisory Board, and has a M.B.A from UC Davis, and holds the CIA, CISSP, CISA, and GSNA, certifications.

Matt Hicks is the Systemwide Deputy Audit Officer for the University of California. In this role, Matt ensures overall execution of systemwide audit services, including effective resource deployment, professional development for UC audit staff, development and maintenance of methodologies and guidance, and monitoring and measurement of services. He oversees the annual systemwide risk assessment and internal audit plan development for the UC system and reports on internal activity, risk priorities, and results to the Regents Compliance and Audit Committee and systemwide leadership. Additionally, he serves as the Internal Audit Director for the Office of the President, overseeing a team of auditors responsible for conducting audit and advisory services at UCOP. He has over 16 years of internal audit experience and, prior to joining UCOP, he was a manager in KPMG's Advisory Services Practice in San Francisco. He is a Certified Information

Systems Auditor (CISA) and has a B.S. in Business Administration from UC Berkeley.

B3: GDPR in Higher Education - The Journey to Compliance

Shane McQuitty, Associate Director, Protiviti, Inc.

Cathy Hubbs, Chief Information Security Officer, American University

Joel Wuesthoff, Managing Director Robert Half Legal (Protiviti's Parent Company)

Cathy Hubbs began her leadership in information security programs in 2002 at George Mason University. In 2007, she became American University's first chief information security officer. At American she is responsible for identifying areas of risk and shaping the University's information security strategy, architecture, and vision. She partners with IT, Risk Management, Legal Counsel, and other campus stakeholders to meet compliance requirements, create policies, deliver security awareness, and discuss risk and options to limit liability. With the help of her talented team, risk assessments are performed on new and existing services, vulnerability management is implemented, and incident response is coordinated. She is an active volunteer in organizations that focus on the development of Security Professionals. She was American University's first Frye Leadership Institute Scholar in 2009 and is a Certified Information Systems Security Professional and holds a number of security credentials.

B4: Leveraging Audit Analytics for Institutional Risk Assurance

Mark Perry, Audit Director, Cornell University

Mark Perry, MSA-MBA, CPA, CFE, is Audit Director for the Cornell University Audit Office (UAO) leading an experienced team of audit, advisory, fraud investigation and analytics professionals. Mark led the development of Cornell's innovative, award-winning Timely Assurance continuous auditing program. He has 11 years' prior experience with Deloitte and is a regular speaker at various venues, including EACUBO-Cornell Administrative Management Institute, Dyson School for Business, IIA GAM conference, Ivy+ and Big Ten+ groups, Central New

York Higher Education Symposium, and webinars with ACL and Deloitte. Prior CPE trainings include data analytics, Uniform Guidance, COSO Internal Controls Framework, ethics and stewardship, Enterprise Risk Management, and various auditing and accounting topics. Areas of professional expertise include data analytics, governance, enterprise risk management, fraud investigations, ethics and integrity, auditing, and internal controls.

B5: Cyber Security Emerging Trends for Colleges and Universities

Tony Hubbard, Principal, KPMG

Tony Hubbard has 27 years of experience supporting public service cybersecurity initiatives. Tony leads KPMG's Government Cybersecurity practice, which supports entities such as the Departments of Defense, Energy, Health and Human Services, Homeland Security, and Veterans Affairs, as well as many state and local government agencies and higher education institutions. Tony has authored many professional articles, appeared in media outlets such as "Government Matters" and "FedNewsRadio", and frequently presents on cybersecurity topics. Tony has a Bachelor's of Science degree from Shepherd University, is a Certified Information Systems Security Professional (CISSP), and Certified Information Systems Auditor (CISA).

B6: IT Audit Demystified

Ivy Finglas, IT Audit Manager, University of New Hampshire

Ivy has over 20 years in IT Shared Services and IT Audit/Security experience working in several industries such as Financial services, Pet Health Care, Retail and University systems. She is currently the IT Audit Manager at the University of New Hampshire the largest post-secondary provider in the Granite State where she plans and executes key audits across 4 campuses servicing 32,000 students annually. Ivy joined the University System in December 2018. She was previously the Vice President and Director for Business Assurance Validation and Risk Assessment at Citizens Bank in Rhode Island. In her previous roles she managed and trained teams specializing in IT and cybersecurity audits.

Ivy holds a Master of Business administration from Edinburgh University and a Bachelor of Science in Information Systems Management from Boston University. Ivy maintains professional certifications as a Certified Information Security Manager, a Certified Information Systems Auditor, Certified in Information Risk and Control and Cybersecurity Nexus Fundamentals. She has a passion for continuous self-betterment especially in the area of IT Security and enjoys sharing ideas, knowledge and experience with her peers.

B7: Responding to Risk in an IoT World

Orus Dearman, Managing Director, Cyber Risk and Privacy, Grant Thornton LLP

Seth Kornetsky, Executive Director, Audit & Management Advisory Services, Tufts University

Matt Unterman, Principal, Higher Education Advisory Services, Grant Thornton LLP

Orus is a Managing Director with Grant Thornton's Cyber Risk Advisory Services practice in San Francisco, CA and has 19 plus years of experience providing advisory services to clients in the technology, financial services, and government, industries. He works with companies enabling them to implement cyber risk and privacy frameworks such as the NIST Cyber Security Framework (NIST CSF), Generally Accepted Privacy Principles (GAPP), FISMA/FedRAMP, ISO 27001, and the GDPR. He has led project teams deploying security and privacy frameworks for companies across multiple industries. In addition, he has deep experience assessing information security programs in a cloud based environment leveraging NIST SP-800 53. Orus is a Certified Information Systems Security Professional (CISSP) and a Certified Information Systems Auditor (CISA).

As the Director of Audit and Management Advisory Services for Tufts University, Seth leads a department of 4 auditors with responsibility for conducting internal audits, and compliance and risk assessment activities at 8 schools across three campuses. He also supervises the School of Dental Medicine compliance officer. Seth began his auditing

career at Brown University and worked as an audit manager at Boston College before moving to Tufts University as its audit director in 1998. Seth has led university-wide initiatives to develop a code of conduct and the institution's first anonymous reporting hotline. He also championed the development of the University's new risk register and is an active member of the Tufts University Risk and Compliance Committee, responsible for developing its agenda. Seth is also a member of the University Administrative Council and the School of Dental Medicine Compliance Committee. Seth has been active in ACUA having served as president in 2004. He also chaired past Annual Conference and Mid-Year Conference programs in addition to participating on various board committees and presenting at conference workshops. He has served on several teams that conducted quality assurance reviews of internal audit operations at several ACUA member institutions. Seth is a graduate of Brandeis University (Economics/Psychology, 1980) and received his masters of business administration (MBA) from Babson College in 1988. Seth also holds the CIA and CFE certifications.

Matt Unterman is a Principal within Grant Thornton's Not-for-Profit and Higher Education Advisory Services Practice. Matt has led a variety of business and information technology initiatives in the not-for-profit and higher education industry. His engagement experience includes strategic planning, business plan development, business process analysis and reengineering, operational reviews and improvement, financial modeling, program costing, financial performance improvement, benchmarking and best practices reviews, reserves analysis, enterprise risk management, internal audit, reorganization and outsourcing assistance, board governance assessment, IT planning and strategy, IT effectiveness reviews, requirements development, project management office (PMO) services, data center reviews, post-implementation assessments, IT controls reviews, business continuity/disaster recovery, system and vendor selection, records retention policy creation, and training curriculum and materials design.

B8: Conducting an Incident Response from a Digital Forensics Standpoint

Damon Hacker, President & CEO, Vestige Digital Investigations

Damon Hacker, MBA, CCA, CISA, CSXF is President, CEO and a founder of Vestige Digital Investigations, a leading U.S. Electronic Evidence Experts company specializing in Digital Forensics, CyberSecurity and eDiscovery Services.

A pioneer in digital forensics and IT security, he has helped to shape this important and fast-moving industry having testified before the US Judicial Conference on proposed rule changes to Civil Procedure that affected the Digital Forensics and litigation industries. He continuously helps to improve the techniques, processes and technology in this specialized field. He is a court approved Expert for testimony. Damon aired on the Legal Broadcast Radio Network, was published in Inc Technology magazine, Smart Business News and Crain's Cleveland Business. In addition, Mr. Hacker frequently consults for a number of television shows some of which include CSI: Cyber, Bones and The Blacklist. He earned an MBA from the Weatherhead School of Management, and his undergraduate degree from Case Western Reserve University. Vestige has offices in Cleveland, Columbus, Pittsburgh and New York City.

B9: Major System Implementations – How Auditors Engage For Success

Mike Cullen, Senior Manager, Baker Tilly

Mike Cullen is a Senior Manager with Baker Tilly, a national accounting and advisory firm. Mike is the higher education cybersecurity and IT leader for the firm. For over 16 years, he has worked with a variety of institutions, leading myriad cybersecurity and IT risk assessments and audits, developing information privacy and cybersecurity programs, performing ethical hacking, and conducting digital forensic investigations. Mike has presented to a variety of local, regional, and national conferences, as well at multiple universities. Mike is also a Certified Information Systems Auditor (CISA), Certified Information

Systems Security Professional (CISSP), and Certified Information Privacy Professional (CIPP/US).

B10: IT Auditors and Directors Roundtable

Barry White, Director Information Technology Auditing, Johns Hopkins Institutions

John O'Brien, President/CEO, EDUCAUSE

Barry L. White, MBA, CISM, CISA, is the Director of Information Technology Auditing at Johns Hopkins Institutions. Mr. White has over 38 years' experience in Information Technology. For the past 31 years, Mr. White has been working for The Johns Hopkins Institutions in IT audit. Prior to working at Johns Hopkins, he held positions as Systems and Applications Programmer, Systems Implementation Consultant and Management Information Consultant for Convergent Technologies Inc. and Alexander & Alexander Inc. Mr. White has lectured on various IT controls and concerns at professional conferences including several annual and mid-year ACUA conferences as well as AHIA conferences. He is also a former Associate Professor for a local community college in the Baltimore area where he taught IT courses for several years.

John O'Brien is President and CEO of EDUCAUSE, an international nonprofit association whose mission is to advance higher education through technology innovation. Throughout his career in higher education, John has served as a leader in technology, academics, and institutional leadership. He was a faculty leader in instructional technology, a statewide IT project leader, and associate vice chancellor/deputy CIO at the system level. He has been a college provost and president in the Minnesota State Colleges and Universities system, the fourth largest higher education system in the United States with over 375,000 students. Prior to his appointment at EDUCAUSE, he served as the system's senior vice chancellor of academic and student affairs. John holds a bachelor's degree in English from Augustana University, a master's degree in Anglo-Irish Literature from the University of Dublin (Trinity College), and a doctorate in English from the University of Minnesota.

B11: IT Risks and Opportunities in Academia – Critical Areas and Practices from a Board, Executive Management and Audit Perspective

Patrick Graber, Chief Audit Executive, Board of the Swiss Federal Institutes of Technology

Johan Lidros, President, Eminere Group

Patrick Graber is the Chief Audit Executive of the Board of the Swiss Federal Institutes of Technology (ETH Board) since 2008. The Internal Audit of the ETH Board covers the audit activities of the entire ETH Domain, a network of two universities and four research institutes. Patrick holds a Master of Science in Business Administration and a Master of Law of the University of Berne in Switzerland and is a Certified Internal Auditor. Prior joining the ETH Board Patrick was Chief Financial Officer of the Swiss Federal Pension Fund and worked in different positions in finance and IT in the private industry.

Johan Lidros is the founder and President of Eminere Group which provides IT Governance and Risk Management Services. Previously, Johan was the Florida and Caribbean Computer Risk Management practice leader at Andersen. He has specialized in providing IT governance, IT risk management, IT audit and information security assurance solutions for the higher education, and healthcare industries. Johan has a Bachelor of Science in Economics from the Stockholm University and he is a Certified in the Governance of Enterprise IT (CGEIT), Certified Information System Auditor (CISA), Certified Information Security Manager (CISM), Certified in Risk and Information Systems Control (CRISC), HITRUST CCSFP Certified Common Security Framework Practitioner, and certified in IT Infrastructure Library (ITIL-F). He is also the previous President of the North and Central Florida HIMSS chapter and a 2012-2014 member of the ISACA CGEIT certification committee.

B12: It's Just Someone Else's Computer: Assessing and Understanding Security in Cloud Computing

Brian Markham, AVP, Information Security, George Washington University

Brian Markham is an experienced IT risk and compliance professional with more than 12 years of experience in higher education and private industry. Prior to joining GW, he was a consultant for PricewaterhouseCoopers' IT security, privacy and risk practice, serving the homeland security and financial services markets. He also spent seven years at the University of Maryland at College Park in the areas of IT security, compliance and audit. Mr. Markham oversees the compliance and risk services team, which is responsible for ensuring that the Division of IT is implementing IT services and solutions that comply with regulatory and contractual requirements. He also oversees the overall IT risk management program and various other information assurance initiatives within the division. Mr. Markham holds a B.A. and an M.B.A. from the University of Maryland at College Park.

C1: Clery Act Compliance: "What Does It Mean?"

Danielle Bundy, Director of Internal Audit, Colorado Community College System

David Summerlin, Internal Audit Senior Manager, Colorado Community College System

Danielle Bundy is the Internal Audit Director at the Colorado Community College System (CCCS) with over 14 years of audit experience. She worked for KPMG, a public accounting firm, in their assurance and forensic practices for 7 years. In 2012, she began working at CCCS. Danielle is a regular speaker across CCCS presenting best practices and common findings from audits. As part of the State of Colorado's administration of Career and Technical Education, the CCCS Internal Audit performs routine civil rights monitoring reviews of colleges and K-12 school districts. These visits involve reviews of documentation and measurements of facilities to ensure compliance with Civil Rights laws.

David Summerlin is the Senior Internal Audit Manager at the Colorado Community College System (CCCS). He has over seven years of internal and IT audit experience with several institutions of higher education in Colorado and Louisiana. He co-presented on planning investigations at the fall 2018 ACUA conference and has lead trainings on data extraction, data analytics, and internal control best practices. Over the past year he has planned and conducted audits of five the thirteen Colorado Community Colleges for compliance with the Clery Act, and presented findings and best practices to College and CCCS leadership.

C2: Evaluating the University-Wide Compliance Function

Eric Groen, Managing Director, Protiviti

Gates Garrity-Rokous, Vice President and Chief Compliance Officer, The Ohio State University

Eric Groen is a Managing Director with Protiviti, based in the Phoenix area. He has almost 20 years of experience in consulting and audit, including both internal and external audit experience. Eric's experience extends across a number of industries, however, he spends the majority of his time focusing on higher education and more specifically compliance and governance issues. Eric has a B.S. degree in Accounting and Finance from Creighton University, is a Certified Public Accountant and a Certified Internal Auditor. Eric is also the former President of the Phoenix Chapter of the IIA.

Gates Garrity-Rokous serves as Vice President and Chief Compliance Officer for the Office of University Compliance and Integrity at The Ohio State University. Gates has over 20 years developing, assessing and managing ethics and compliance programs for complex institutions, with a broad expertise in higher education, healthcare and financial services. Prior to joining Ohio State, Gates served as Chief Compliance Officer for GE Capital Americas, where he oversaw its regulatory compliance program. Before that, he was a white collar defense partner for Wiggin & Dana, LLP, advising universities, hospitals, and corporations on compliance issues. He also served as an assistant U.S.

attorney and health-care fraud-enforcement officer with the Department of Justice. Gates also clerked in the United States District Court of Connecticut and the United States Court of Appeals, Second Circuit.

Mr. Garrity-Rokous earned his Bachelor of Arts degree from Trinity College and his Juris Doctor from Yale Law School.

C3: Responding to Foreign Influence Concerns on Campus

Ashley Deihl, Director, Baker Tilly

Missy Peloso, Associate Vice President/Associate Vice Provost,
University of Pennsylvania

With more than 13 years of experience, Ashley assists institutions to achieve strategic and financial success, optimize operational effectiveness and enhance compliance. Ashley drives innovation through strategic and enterprise risk assessments, internal audit and compliance reviews and investigations and business process reviews. She has assisted clients in developing and reengineering infrastructures, governance practices, internal controls and business processes to mitigate risk and enhance efficiency, effectiveness and compliance in such areas as budgeting and resource management, financial management and reporting, sponsored research administration, compliance governance and international operations.

Missy Peloso serves as the Associate Vice President and Associate Vice Provost for Research at the University of Pennsylvania. She is responsible for managing over \$1 billion of sponsored research funds. Missy is a frequent presenter, author and contributor to National Council of University Research Administrators (NCURA) and Council on Government Regulations (COGR). She is a published author on topics including international research and export controls.

C4: Internal Controls: Looking at Sponsored Research through the COSO Lens

Albana Cejne, Assistant Director, Sponsored Research Audit, Princeton University

Christiana Oppong, Senior Auditor, Princeton University

Albana Cejne is the Assistant Director of Sponsored Research Audit, in the Office of Audit and Compliance, of Princeton University. In her role, Albana is responsible for performing audits focusing on compliance requirements and internal controls in processes for sponsored research, as well as acting as a liaison between Princeton Plasma Physics Laboratory and OAC for the review and oversight of cost allowability audits. Prior to this role, Albana worked in a central post award office and has ten years of experience in sponsored research administration including financial compliance, effort reporting, expenditure approvals, sub-recipient monitoring, and annual Uniform Guidance single audits reviews. Albana is an active member of National Council of University Research Administrators (NCURA), the Institute of Internal Auditors (IIA) and the Association of College and University Auditors (ACUA). Albana has held different volunteer roles with NCURA, as well as presented at several NCURA and Financial Research Administration meetings.

Christy Oppong is a Senior Auditor in the Office of Audit and Compliance for Princeton University. In her role, Christy performs operational audits, contract compliance audits, regulatory compliance audits including sponsored research audits and business process audits across various departments at the University; providing tools to assist departments in improving key processes and to comply with laws and regulations. During her tenure at Princeton University, which spans over ten years, Christy has specialized in payroll and restricted funds audit. Prior to this role, Christy Oppong worked as an Internal Auditor conducting financial and operational audits and Sarbanes-Oxley section 404 audits of internal controls over financial reporting. She has over 17 years of experience in internal audit. Christy is a Certified Internal Auditor (CIA) and holds a Certification in Control Self-Assessment, both

awarded by the IIA. She is an active member of the Institute of Internal Auditors (IIA) and the Association of College and University Auditors (ACUA).

C5: Research Compliance in a Decentralized Environment

Michael Bowers, Associate Director, Audit Division, MIT

Vesna Zaccheo, Audit Services Manager, MIT

Kallie Firestone, Senior Compliance Specialist, MIT

Mike joined Brown University as the Chief University Auditor in June of 2019. Before his role at Brown, Mike held a leadership position with the MIT Audit Division as the Associate Director for Technology and Campus Audit Services. He holds a Bachelor of Science in Business Administration with a dual concentration in International Business and Accounting, and a Political Science minor, from Northeastern University and a Master of Business Administration (MBA) with a concentration in Public and Non-Profit Management from Boston University. Mike maintains his license as a Certified Public Accountant (CPA) within the Commonwealth of Massachusetts and holds designations as a Certified Internal Auditor (CIA) and a Chartered Global Management Accountant (CGMA). Mike is also a member of the Northeastern and ACUA faculties.

Vesna N. Zaccheo is Audit Manager, Campus Audit Services at the Massachusetts Institute of Technology. She joined the Audit Division in 2000 with five years of internal audit experience at Data General and ten years of overall professional experience. She holds a Bachelor of Science in Economics from the University Center of Economics Sciences, North Macedonia and a Master of Business Administration (MBA) with a concentration in Finance from Northeastern University.

Kallie joined the Audit Division in 2007; with 21 years of research administration experience working in the central research administration offices at several major research universities, including MIT's Office of Sponsored Programs. Kallie received her Master's in Criminal Justice from Washington State University.

C6: Navigating Civil Rights Compliance

Danielle Bundy, Director of Internal Audit, Colorado Community College System

David Summerlin, Internal Audit Senior Manager, Colorado Community College System

Danielle Bundy is the Internal Audit Director at the Colorado Community College System (CCCS) with over 14 years of audit experience. She worked for KPMG, a public accounting firm, in their assurance and forensic practices for 7 years. In 2012, she began working at CCCS. Danielle is a regular speaker across CCCS presenting best practices and common findings from audits. As part of the State of Colorado's administration of Career and Technical Education, the CCCS Internal Audit performs routine civil rights monitoring reviews of colleges and K-12 school districts. These visits involve reviews of documentation and measurements of facilities to ensure compliance with Civil Rights laws.

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C7: Congratulations, You've Been Selected for an NSF Incurred Cost Audit! What the NSF Auditors are Looking For and How Internal Audit Can Help Prepare For a Successful Outcome

Lynn Gonzalez, Senior Auditor, Oregon State University

Megan Mesko, Partner, Cotton & Company LLC

Lynn is a senior auditor in the Office of Audit, Risk and Compliance at Oregon State University. She performs detailed audit fieldwork including the evaluation and testing of the audit client's system of internal accounting controls. She is also responsible for managing complaints submitted through the OSU's Accountability & Integrity hotline, including tracking the investigation process and/or referring the complaint to another, more appropriate area for review. Lynn's prior experience includes external auditing with Texas Guaranteed Student Loan Corporation (TG), the assistant director of financial aid at Thomas Jefferson School of Law, and lead intake finance counselor at the University of Phoenix. Last summer, Lynn earned a Master of Science in Accounting with a concentration in auditing. Lynn also has a Master of Science in Higher Education Administration and a Bachelor of Science in Business Management. She is a Certified Internal Auditor (CIA) and a Certified Government Auditing Professional (CGAP).

Megan Mesko joined Cotton & Company in July 2011 and was elected a partner with the firm in January 2019. Since joining the firm, she has participated in numerous performance audits, financial statement audits, consulting service engagements, and litigation support activities for both federal and non-federal agencies. In particular, Ms. Mesko has performed audits of, and on behalf of, a number of federal and not-for-profit organizations and has extensive experience performing audits and compliance reviews of contract and grant funding awarded to institutes of higher education, hospitals, and not-for-profit entities. She is experienced in testing compliance with the Uniform Guidance (formerly Office of Management and Budget (OMB) Circular No. A-21 and 2 Code of Federal Regulations (CFR) 220), the Federal Acquisition Regulation (FAR), specific federal organization allowability criteria, and grant/contract-specific terms and conditions.

C8: Tales from the Trenches: Lessons Learned about Auditing Research

Toni Stephens, Chief Audit Executive, The University of Texas at Dallas

Toni Stephens, CPA, CIA, CRMA, is the CAE at UT Dallas. She received her accounting degree from Texas A&M University and has over 30 years of higher education audit experience. Toni's professional activities include serving as the ACUA President, board and faculty member, PEC Chair, Annual Conference Director, and serving on the recognition committees. She also serves as an audit committee advisor for the Dallas ISD and is a board and audit committee member for the Dallas IIA. She served as President and board member of the Texas Association of College and University Auditors. Honors include receiving service awards from both ACUA and the Dallas IIA. She is an advisory board member for the UT Dallas Center for Internal Auditing Excellence, and for the past 15 years she has mentored internal audit students, providing them with actual audit experience.

C9: Using Data Analytics and Visualization to Manage Sponsored Project Risk

Erin Baker, Data Analytics Program Manager, The University of Texas System

Dyan Hudson, Director, Specialty Audit Services, The University of Texas System

Erin Baker, CIA, CIDA, CISE, CFIRS - Erin is the Data Analytics Program Manager with the University of Texas System Administration internal audit team. In this role, she provides data analytic related services and support to internal audit departments around the System, including developing tools for use with their data, establishing a formal training curriculum, and assisting with data acquisition and analytics during audits. Erin has BBAs in both Finance and Accounting from the University of Texas at Austin and San Antonio, respectively. Erin was first introduced to the power of data analytics at Frost National Bank in the audit department. She has also been a one-woman audit shop for a

home health care company, where she developed scripts for operational, financial, compliance, and administrative purposes. Dyan Hudson, CIA, CISA, CFE, CRMA, is Director of Specialty Audit Services for The University of Texas System, with over 30 years of combined audit and IT experience. Dyan's responsibilities include IT audit, data analytics, and special projects and investigations. She previously held audit positions at the University of Montana and the University of Texas at Austin, spent several years as an IT Auditor in state and municipal government and the banking industry, and was a programmer and systems analyst before joining the audit profession.

C10: Compliance Considerations Introduced by the Revised Federal Policy for the Protection of Human Subjects (Common Rule)

Megan Kasimatis Singleton, Assistant Dean, Human Research Protections and Director of the Human Research Protections Program, Johns Hopkins University School of Medicine

Megan Kasimatis Singleton, JD, MBE, CIP is Assistant Dean for Human Research Protection and Director of the Human Research Protection Program at Johns Hopkins University School of Medicine. In this role she is responsible for oversight and direction of JHM's 7 IRBs. Ms. Singleton is a licensed attorney in Pennsylvania. She earned her law degree from Temple University and her Masters in Bioethics from the University of Pennsylvania. In addition to her current role in leading the Johns Hopkins Medicine HRPP, she serves as the director of central IRB (CIRB) activities for the Johns Hopkins/Tufts Trial Innovation Center (TIC), leading the charge for innovations in operationalizing single IRB (sIRB) review. In addition to her role as TIC CIRB lead, she is heavily engaged in national efforts to streamline the implementation of sIRB review processes including as a member of the Federal Demonstration Partnership taskforce on sIRB review and as a member of the SMART IRB Harmonization Steering Committee. Ms. Singleton is the co-chair of the AER Conference Workshop/Didactic Subcommittee, is an AAHRPP, Inc. site visitor, and is a member of the Steering Committee for AEREO, a consortium designed to advance effective research ethics oversight through empirical research.

C11: Interviewing Techniques for Auditors

John Grimes, III, Adjunct Faculty, Chief Inspector (RET), CFE,CFI,
Stevenson University

John Grimes has over 50 years of law enforcement, criminal investigation, fraud examination, and teaching experience. John began his law enforcement career with the Baltimore City Police Department where he became a Detective in the Criminal Investigation Division . He retired from law enforcement in 2016 as the Chief Inspector with the Amtrak Office of Inspector General/Office of Investigation. John is a Certified Fraud Examiner and is a Past President of the Maryland Chapter ACFE. He is recognized as a Certified Forensic Interviewer by the Center for Interview Standards and Assessments, Ltd. John is an Adjunct Instructor at Stevenson University where he developed and teaches a graduate level course in Forensic Interviewing. Additionally, he provides training and speaks on a variety of fraud and forensic interviewing topics. John holds an AA in Criminal Justice, a BA in Business with an emphasis in Accounting, and an MS in Forensic Studies.

C12: The Front Porch of the University - Hot Topics in Athletics

Brian Daniels, Chief Audit and Compliance Officer, University of Tennessee

Timothy Parker, Senior Auditor for Special Projects, Virginia Tech

Brian Daniels is the Chief Audit and Compliance Officer at the University of Tennessee and has more than 15 years of audit, risk, and control experience in higher education. With an original background in IT audit, Brian has held progressively responsible positions at the University of Virginia and Virginia Tech respectively, finally serving as the Director of Internal Audit. In this capacity, he has had the opportunity to partner with the Athletics Department in establishing a robust and collaborative audit approach to ensure coverage in a wide variety of NCAA bylaws and other risk topics. Brian received his undergraduate degree in Business Information Technology from

Virginia Tech and an MBA with a concentration in Information Security from James Madison University. Brian is a Certified Internal Auditor, Certified Information Systems Auditor, and a GIAC Certified Forensic Analyst.

While only beginning his third year as an IA staff member, Parker has been intimately involved in risk identification, compliance, resource allocation, and reporting, for more than 25 years. Following graduate school, Parker began his career in the field of college athletics administration at three NCAA Division I conference offices, serving as Compliance Director, Assistant Commissioner, and Associate Executive Director, respectively. In 1997, he accepted the job of Assistant AD for Compliance at Virginia Tech, beginning a 20-year run in the athletics department that would see him eventually achieve the position of Senior Associate AD. In 2017, Parker joined the Virginia Tech Office of Audit, Risk, and Compliance, where he serves as a member of the special projects team, conducting fraud/waste/abuse investigations and advisory reviews.

D1: Fraud/Not Fraud

Angela McCarter, Assistant Director, Office of Internal Audit, The University of Texas at Austin

Dyan Hudson, Director, Specialty Audit Services, The University of Texas System

Angela regularly speaks on fraud topics throughout the university, and has presented at the annual Texas Association of College and University Auditors conference. She has seventeen years of experience in internal auditing and accounting, and represents internal audit in fraud investigations. Angela holds the Certified Internal Auditor (CIA) and Certification in Risk Management Assurance (CRMA) certifications from the Institute of Internal Auditors.

Dyan Hudson, CIA, CISA, CFE, CRMA, is Director of Specialty Audit Services for The University of Texas System, with over 30 years of combined audit and IT experience. Dyan's responsibilities include IT

audit, data analytics, and special projects and investigations. She previously held audit positions at the University of Montana and the University of Texas at Austin, spent several years as an IT Auditor in state and municipal government and the banking industry, and was a programmer and systems analyst before joining the audit profession.

D2: TBD

D3: Academic Program Analysis/Contribution Margin Analysis – Internal Audit and BKD partnering to support institutional success

Michelle Finley, Chief Audit Executive, Oklahoma State University and Agriculture and Mechanical Colleges

Joe Diaz, Assistant Chief Audit Executive, Oklahoma State University and Agriculture and Mechanical Colleges

Michelle Finley, CIA, CFE, Bachelor of Business Administration, Accounting, has 23 years of experience in higher education, in which she has held several executive positions, including Chief Audit Executive and Executive Vice President/Chief Financial Officer at a private university and Chief Audit Executive at two public higher education systems. Michelle is a Certified Internal Auditor (CIA) and a Certified Fraud Examiner (CFE). She served on the Internal Audit and Compliance Monitoring Committee of the Texas Higher Education Coordinating Board and several other non-profit Boards. She is a member of the Institute of Internal Auditors (IIA) and was awarded the Jim Cobb Member of the Year for the Oklahoma City Chapter, where she served as president and member of the Board of Governors. Michelle is also a member of the Association of College and University Auditors (ACUA) and the Association of Certified Fraud Examiners (ACFE).

Joe Diaz, CIA, CFE, Bachelor of Business Administration, Finance, Master of Business Administration has 22 years of experience in internal auditing, including higher education and public accounting working specifically in the retail, oil and gas, service, manufacturing, and non-profit industries. Joe is a Certified Internal Auditor (CIA) and a Certified Fraud Examiner (CFE). He is a member of the Institute of Internal

Auditors (IIA) and served as Research Committee Chair for the Dallas Chapter where he was awarded the Outstanding Committee Chair in 2013. He is also a member of the Association of College and University Auditors (ACUA) and the Association of Certified Fraud Examiners (ACFE). Joe has been a guest speaker for the aviation ethics course at Oklahoma State University and a guest speaker/instructor for the internal audit graduate course at the University of North Texas.

D4: Getting the Most Out of Your Hotline

Will Hancock, Audit Manager, Auburn University

Will is a Certified Public Accountant, Certified Internal Auditor, and Certified Fraud Examiner. He currently works at Auburn University as an Audit Manager, and has previously worked as an Information Systems Auditor for Deloitte and the State of Tennessee Comptroller of the Treasury. Will has 22 years of auditing experience, with 13 years in higher education. Will was the Midyear Committee Director for 2017-2019, has served on the ACUA Fraud Best Practices Committee, and is a current member of the ACUA Membership Committee. Will is an Eagle Scout, a Scottish Laird, and an ordained priest.

D5: Don't Let Conflicts of Interest Corrupt Your Culture

Robert Berry, Executive Director – Internal Audit, University of South Alabama

Robert is the Executive Director of Internal Audit at the University of South Alabama. Previously, he was the Director of Audit at the University of North Florida for almost 10 years. Prior to this, he worked in the private sector for several large private companies, Fortune 500 organizations and a Big 4 accounting firm.

Over the years, he has provided engaging presentation at ACUA and IIA conferences and looks forward to spirited discussions at AuditCon.

D6: Gift Acceptance: Balancing the Institution's Mission with Donor Intent

Kimberly Macedo, Senior Manager, Baker Tilly

Kimberly is a senior manager in Baker Tilly's risk, internal audit and cybersecurity practice with over ten years of experience. She regularly works with higher education institutions on auditing advancement operations, including developing gift acceptance frameworks, assessing spending in accordance with donor intent, and evaluating capital campaign procedures. She has presented at past ACUA Annual and Midyear Conferences as well as local internal audit related organizations. Related to advancement, she has presented on topics such as "Worth the Risk? The Advancement Services Role in Risk Management" for the Association of Advancement Services Professionals DC Regional Symposium and developed thought leadership on donor gift management: identifying risks and implementing leading practices. Kimberly is a Certified Internal Auditor and Project Management Professional.

D7: Developments in Tax Reform and State and Local Tax Matters - Where Should an Internal Auditor Focus

Raymond Ly, Sr. Manager, Tax (Development and Exempt Organizations), KPMG LLP

Jonathan Weinberg, Sr. Manager, Tax (State and Local), KPMG LLP

Ray Ly, a senior manager in KPMG's Development and Exempt Organizations Tax Practice, has more than 18 years of experience in the nonprofit and tax-exempt space. He provides advisory, consulting, and compliance services on complex tax matters to clients in the higher education, humanitarian, healthcare, private foundation, pension trusts, and other not-for-profit sectors. Ray currently provides tax advice on a wide variety of issues including current developments in taxation, tax examinations, unrelated business income, alternative investment reporting, state and local tax matters, charitable contributions, international operation compliance matters, and

executive compensation. He has a BBA in Accounting from the College of William and Mary and a MS in Taxation from American University.

Jonathan Weinberg, a senior manager in KPMG's state and local tax practice, has more than 20 years of experience in dealing with state and local tax issues. He provides consulting, audit defense, and compliance services to both not-for-profit organizations and for-profit businesses. In this capacity, Jonathan advises on both direct and indirect taxes. Jonathan was recently named one of KPMG's SALT liaisons to the Development and Exempt Organizations Practice. In this role, he has spent significant time advising tax-exempt organizations on the effect of the Supreme Court's Wayfair decision. He has a B.A. in History and Sociology from Miami University and a J.D. and LL.M. (Taxation) from the Case Western Reserve University School of Law.

D8: Student Recruitment and Tuition Remission-Assessment Tools and Strategies for Auditors

David Terry, Director of Internal Audit, Portland State University

David was appointed as Portland State University's first Director of Internal Audit charged with establishing the Internal Audit Office in October 2014 following state university governance reform legislation in Oregon. Prior to joining Portland State University, David established Portland Community College's first internal audit function in 2011 and led internal audit for Portland Community College until coming to Portland State University. David has also held various audit positions with the Secretary of State Oregon Audits Division and the Oregon University System Internal Audit Department from 2003 to 2011 and has 16 years' experience auditing state government, local government, and higher education in Oregon. David obtained his bachelor's degree in Business Administration from Eastern Oregon University (EOU) and was EOU's Presidential Scholar in 2003. David holds licenses as a Certified Public Accountant, Certified Fraud Examiner, and Certified Internal Auditor. Moreover, David is an audit committee member on the Oregon Department of Education's Audit Committee, Clackamas

County Audit Committee, and he is a member of the Institute of Internal Auditors and the Association of College & University Auditors.

D9: What the Heck is Analytics and Why Do I Care?

Trevor Hughes, Senior Auditor for Data Analytics, Virginia Tech

As Senior Auditor for Data Analytics with Virginia Tech, Trevor Hughes specializes in analyzing data related to established audit procedures and discovering new ways to use analytics to guide continuous monitoring, plan and perform audits, and to improve risk assessment efforts. Trevor previously worked at Virginia's Auditor of Public Accountants as a Senior Auditor and Information Security Specialist. Between Virginia Tech and the APA, he has over 11 years of audit experience. In addition, he has spent more than 15 years in corporate and non-profit management, accounting operations, information systems management and development, and consulting.

D10: Make Your Audits More Relevant-Capitalize on ERM!

John Kiss, Director, Baker Tilly

Patricia Snopkowski, Chief Executive of Audit, Risk and Compliance, Oregon State University

Joanna Rojas, Director, University Audits, Duke University

Sharon Kurek, Executive Director of Audit, Risk, and Compliance, Virginia Tech

John Kiss is a Director in Baker Tilly's higher education and research institutions industry consulting practice, as well as its national risk, internal audit, and cybersecurity services practice. These practices provide assurance and advisory services in the areas of internal audit, enterprise risk management, institutional compliance programs, financial and operational risk management, construction audit, fraud investigation, cybersecurity and technology risk consulting, and organizational governance. John has focused on providing these services to higher education and academic medical center clients.

In her role as the chief executive of audit, risk and compliance for Oregon State University, Patti leads a team that evaluates and improves the effectiveness of the risk management, control, and governance processes. The audit team provides independent and objective assurance and consulting services that improve operations and protect organizational value. The compliance team continually improves the productivity and safety of the university environment by overseeing the university's compliance and ethics program. In addition, Patti facilitates the university's enterprise risk management, which evaluates mission-critical risks and formulates effective mitigation strategies for the university's board and senior leaders. The chief audit, risk, and compliance executive reports administratively to the president and functionally to the Executive and Audit Committee of the OSU Board of Trustees through routine communication practices.

Joanna Rojas leads the university audit team, which provides an independent viewpoint on governance, risk management and internal controls for the university, DUMAC and related entities. Joanna and the team conduct financial, operational, compliance and information technology risk based audits. Joanna joined the Duke Office of Audit, Risk and Compliance in 2008. Joanna's past experience includes auditing financial and information technology processes with PriceWaterhouse Coopers and Lowe's Companies.

Sharon is the Executive Director of Audit, Risk, and Compliance at Virginia Tech, serving as the university's chief audit executive for the university and reporting to the Compliance, Audit, and Risk Committee of the Virginia Tech Board of Visitors. In addition to leading the internal audit function, Sharon has institutional responsibility for facilitating and coordinating the university's enterprise risk management (ERM) and institutional compliance programs (ICP).

D11: Strategic Partnering: Building a Winning Platform for Construction Auditing

Kimberly (Kim) Turner, Chief Audit Executive, Texas Tech University System

Michael Molina, Chief Architect and Associate VP for Facilities Planning & Management, Southern Methodist University

As Chief Audit Executive for the Texas Tech University System, Kim leads a department of 17 auditors in three cities. The institutions of the Texas Tech System comprise an annual operating budget of \$2.3 billion with almost 55,000 students. A former ACUA President, Kim received ACUA's Outstanding Professional Contributions award in 2014. Kim is Co-Chair of the Risk Dictionary subcommittee and received the 2011 Excellence in Service Award for her work in developing and maintaining the Risk Dictionary. Closer to home, Kim served as a board member-at-large on the state board of the Texas Society of CPAs (TXCPA) and as founding president of High Plains Chapter of The IIA. A member of ACUA Faculty, Kim is a frequent speaker for such organizations as ACUA, URMIA, TXCPA, and SCCE. Kim has also served on a number of peer review teams to enhance the performance of internal audit functions both inside and outside of higher education.

Michael serves as the Chief Architect for Southern Methodist University (SMU) managing a broad portfolio across two campuses located in Dallas and Taos, New Mexico serving 12,000 undergraduate and graduate students. He manages a multi-faceted 220 member team including operations, physical plant, energy management, real estate/property management, master planning, capital projects, design and construction. Currently his division manages an annual portfolio value of \$367,000,000. He previously served 8.5 years as the Vice Chancellor of Facilities Planning & Construction for the Texas Tech University System managing the master planning through capital projects with a total portfolio valued at \$2.4B during his service. Michael is a member of the American Institute of Architects (AIA), Texas Society of Architects (TSA), The National Council of Architectural

Registration Board (NCARB), Texas Board of Architectural Examiners (TBAE) and the Association of University Architects (AUA).

D12: Everything You Always Wanted to Know about Auxiliaries...and Then Some!

Toni Stephens, Chief Audit Executive, The University of Texas at Dallas

Toni Stephens, CPA, CIA, CRMA, is the CAE at UT Dallas. She received her accounting degree from Texas A&M University and has over 30 years of higher education audit experience. Toni's professional activities include serving as the ACUA President, board and faculty member, PEC Chair, Annual Conference Director, and serving on the recognition committees. She also serves as an audit committee advisor for the Dallas ISD and is a board and audit committee member for the Dallas IIA. She served as President and board member of the Texas Association of College and University Auditors. Honors include receiving service awards from both ACUA and the Dallas IIA. She is an advisory board member for the UT Dallas Center for Internal Auditing Excellence, and for the past 15 years she has mentored internal audit students, providing them with actual audit experience.

Bonus Session 1A: Delivering Value - Comparing Two Philosophies

Kelsey Bahadursingh, Assistant Director, Vanderbilt University

Bruce Weisman, Associate Director, Vanderbilt University

Kelsey Bahadursingh is the Assistant Director for Audit, Risk & Advisory Services. She is responsible for planning, performing and supervising audits of the university. She graduated from Seattle Pacific University with a B.A. in Accounting and a B.A. in Business Administration with a concentration in International Business. She also studied abroad in Chengdu, China as part of her minor in International Business. She is both a Certified Public Accountant (CPA) and Certified Information Systems Auditor (CISA). Before coming to Vanderbilt, Kelsey worked in Assurance Services for PriceWaterhouse Coopers and Internal Audit for Alaska Airlines in Seattle, Washington. Starting in the fall of 2019, she will start pursuing her M.B.A. degree at Vanderbilt University.

Bruce Weisman, CIA, CPA is the Associate Director for Audit, Risk & Advisory Services (ARAS). He joined ARAS in January 2010. Bruce is responsible for planning and performing audits of the university and overseeing the progress of the annual audit plan. He works with the CAE and Assistant Director to manage a staff of six auditors. He graduated from the University of Evansville with a B.S. in Accounting and a B.S. in Business Administration with a concentration in Management and earned a M.B.A. from Lipscomb University.

Bonus Session 1B: How to Leverage Tableau to Find Travel Fraud

Aaron Cohen, Forensic Auditor, Georgia Institute of Technology

Aaron Cohen has a BBA in Accounting from the North Georgia College & State University and a Master's of Accounting from Georgia Southern. He has 3.5 years of experience as an investigative auditor with Georgia Medicaid Fraud Control Unit and 2.5 years of experience as a forensic auditor at Georgia Tech.

Bonus Session 2A: Training for the Trenches - Implementing Fraud Awareness Training at Your Organization

Laura Ling, Audit Manager, University of Florida

Laura Ling is an experienced audit professional with more than 15 years in internal and external auditing. Prior to working with the University of Florida Office of Internal Audit, she worked for University of Florida Finance and Accounting and the University of Florida Performing Arts. Prior to that, she worked as an Audit Manager for PricewaterhouseCoopers. Ms. Ling has been involved in fraud investigations for the University of Florida for seven years, and recently launched a Fraud Awareness training for University staff.

Bonus Session 2B: Applying Analytical Procedures to Labor Cost Transfers in a Banner Environment

Trevor Hughes, Senior Auditor for Data Analytics, Virginia Tech

As Senior Auditor for Data Analytics with Virginia Tech, Trevor Hughes specializes in analyzing data related to established audit procedures and discovering new ways to use analytics to guide continuous monitoring, plan and perform audits, and to improve risk assessment efforts. Trevor previously worked at Virginia's Auditor of Public Accountants as a Senior Auditor and Information Security Specialist. Between Virginia Tech and the APA, he has over 11 years of audit experience. In addition, he has spent more than 15 years in corporate and non-profit management, accounting operations, information systems management and development, and consulting.